### IAP9 REC'OFCTIPTO 04 APR 2005

#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q94297

Makihiro OTOHATA, et al.

Appln. No.: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: April 4, 2006

Examiner: Not Yet Assigned

For: F

FILM-COVERED BATTERY AND FABRICATION METHOD OF A FILM-COVERED

**BATTERY** 

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. Japanese Patent Publication No. 2002-25514, published January 25, 2002 (with English Abstract).
- 2. Japanese Patent Publication No. 2001-319630, published November 16, 2001 (with English Abstract).
- 3. Japanese Patent Publication No. 2000-268807, published September 29, 2000 (with English Abstract).

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- 4. Japanese Patent Publication No. 2000-156208, published June 6, 2000 (with English Abstract).
- 5. Japanese Patent Publication No. 2002-319374, published October 31, 2002 (with English Abstract).
- 6. Japanese Patent Publication No. 2002-319375, published October 31, 2002 (with English Abstract).
- 7. Japanese Patent Publication No. 10-264244, published October 6, 1998 (with English Abstract).
- 8. Japanese Patent Publication No. 11-334022, published December 7, 1999 (with English Abstract).
- 9. Japanese Patent Publication No. 5-56425, published July 27, 1993 (with English Abstract).
- 10. International Patent Publication No. 2001/056093, published August 2, 2001 (with English Abstract).
- 11. Japanese Patent Publication No. 2000-223088, published August 11, 2000 (with English Abstract).

One copy of each of the listed documents is submitted herewith, except for those listed in the International Search Report. It is assumed that copies of the cited references from the International Search Report will be supplied directly by the International Bureau, but if further copies are needed, the undersigned will undertake to provide them upon request.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action

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on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant states that above reference Nos. 1-4 are enclosed with English Abstracts.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

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Date: April 4, 2006

MODIFIED PTO/SB/08 A & B (06-03)

Q94297

Substitute for Form 1449 A & B/PTO **Application Number** Not Yet Assigned **INFORMATION DISCLOSURE** Confirmation Number Not Yet Assigned Filing Date April 4, 2006 STATEMENT BY APPLICANT First Named Inventor Makihiro OTOHATA Art Unit Not Yet Assigned (use as many sheets as necessary) **Examiner Name** Not Yet Assigned

Sheet

Attorney Docket Number

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document	Number	Publication Date MM-DD-YYYY			
		Number	Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document		
		US					
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JP	2002-25514	Α	01-25-2002	NEC MOBILE ENERGY KK	Abstract
		JP	2001-319630	Α	11-16-2001	NEC CORP	Abstract
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		JP	11-334022	A	12-07-1999	SEKISUI CHEMICAL CO., LTD	Abstract
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	<u>.</u> .	WO	2001/056093	Al	08-02-2001	MITSUBISHI ELECTRIC CORP.	Abstract
		JP	2000-223088	A	08-11-2000	TOYO ALUMINUM KABUSHIKI KAISHA	Abstract

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation	

	Date Considered	
Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.